



**Lincolnville Telephone Company**  
Family of Companies  
Serving Maine's  
Telecommunications needs since 1904

133 Back Meadow Rd  
Nobleboro, ME 04555-9202  
207-563-9911



Received & Inspected

JUN 12 2015

FCC Mail Room

June 9, 2015

Office of the Secretary  
Federal Communications Commission  
445 12<sup>th</sup> Street, SW  
Washington, DC 20554

**Re: (FCC) WC Docket No. 14-58 and WC Docket No. 10-90 Annual Reporting – FCC Form 481, Filings Pursuant to FCC Rule §54.313 and Low Income Support (Lifeline) Filings Pursuant to FCC Rule §54.422 by Tidewater Telecom, Inc., Inc.**

Enclosed are an original and one paper copy of the information filed today with USAC: FCC Form 481 and attachments, Program Year 2016

Please contact me with any questions.

Sincerely,

James A Sanborn  
Controller  
Tidewater Telecom, Inc.  
207-563-9911  
[jims@lintelco.net](mailto:jims@lintelco.net)

DOCKET FILE COPY ORIGINAL

No. of Copies rec'd 0 + 1  
List ABCDE

**FCC Form 481 - Carrier Annual Reporting  
Data Collection Form**

 FCC Form 481  
 OMB Control No. 3060-0986/OMB Control No. 3060-0819  
 July 2013

<010> Study Area Code 100003

<015> Study Area Name TIDEWATER TELECOM, INC.

<020> Program Year 2016

<030> Contact Name: Person USAC should contact with questions about this data Jim Sanborn

<035> Contact Telephone Number: 2075639911 ext. Number of the person identified in data line <030>

<039> Contact Email Address: Email of the person identified in data line <030> jims@intelco.net

Received &amp; Inspected

JUN 12 2015

FCC Mail Room

ANNUAL REPORTING FOR ALL CARRIERS		54.313 Completion Required	54.422 Completion Required
		(check box when complete)	
<100> Service Quality Improvement Reporting	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<200> Outage Reporting (voice)	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<210> <input checked="" type="checkbox"/> <-- check box if no outages to report		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<300> Unfulfilled Service Requests (voice) 0		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<310> Detail on Attempts (voice)	(attach descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<320> Unfulfilled Service Requests (broadband) 0		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<330> Detail on Attempts (broadband)	(attach descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<400> Number of Complaints per 1,000 customers (voice)		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<410> Fixed 0.0		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<420> Mobile 0.0		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<430> Number of Complaints per 1,000 customers (broadband)		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<440> Fixed 0.0		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<450> Mobile 0.0		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<500> Service Quality Standards & Consumer Protection Rules Compliance	(check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<510> 100003me510.pdf	(attach descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<600> Functionality in Emergency Situations	(check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<610> 100003me610.pdf	(attach descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<700> Company Price Offerings (voice)	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<710> Company Price Offerings (broadband)	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<800> Operating Companies and Affiliates	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<900> Tribal Land Offerings (Y/N)?	(if yes, complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<1000> Voice Services Rate Comparability Certification Yes		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<1010> 100003me1010.pdf	(attach descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<1100> Certify whether terrestrial backhaul options exist (Yes or No) <input checked="" type="radio"/> <input type="radio"/>	(if not, check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<1110>	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<1200> Terms and Condition for Lifeline Customers	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<b>Price Cap Carriers, Proceed to Price Cap Additional Documentation Worksheet</b>			
Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers			
<2000>	(check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<2005>	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<b>Rate of Return Carriers, Proceed to ROR Additional Documentation Worksheet</b>			
<3000>	(check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<3005>	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

**(100) Service Quality Improvement Reporting  
Data Collection Form**

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	100003
<015>	Study Area Name	TIDEWATER TELECOM, INC.
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Jim Sanborn
<035>	Contact Telephone Number - Number of person identified in data line <030>	2075639911 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	jims@intelco.net
<110>	Has your company received its ETC certification from the FCC?	(yes / no) <input type="radio"/> <input checked="" type="radio"/>
<111>	If your answer to Line <110> is yes, do you have an existing §54.202(a) "5 year plan" filed with the FCC?	(yes / no) <input type="radio"/> <input type="radio"/>

If your answer to Line <111> is yes, then you are required to file a progress report, on line <112> delineating the status of your company's existing § 54.202(a) "5 year plan" on file with the FCC, as it relates to your provision of voice telephony service.

- <112> Attach Five-Year Service Quality Improvement Plan or, in subsequent years, your annual progress report filed pursuant to 47 C.F.R. § 54.313(a)(1). If your company is a CETC which only receives frozen support, your progress report is only required to address voice telephony service.

100003me112.pdf, 100003me112A.pdf

Name of Attached Document

Please select the appropriate responses below (Yes, No, Not Applicable) to confirm that the attached document(s), on line 112, contains a progress report on its five-year service quality improvement plan pursuant to §54.202(a). The information shall be submitted at the wire center level or census block as appropriate.

- <113> Maps detailing progress towards meeting plan targets
- <114> Report how much universal service (USF) support was received
- <115> How much (USF) was used to improve service quality and how support was used to improve service quality
- <116> How much (USF) was used to improve service coverage and how support was used to improve service coverage
- <117> How much (USF) was used to improve service capacity and how support was used to improve service capacity
- <118> Provide an explanation of network improvement targets not met in the prior calendar year.

Yes
Yes
Yes
Not Applicable
Yes
Not Applicable



(700) Price Offerings Including Voice Rate Data  
Data Collection Form

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010>	Study Area Code	100003
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<015> Study Area Name	TIDEWATER TELECOM, INC.
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<020>	Program Year	2016
-------	--------------	------

<030>	Contact Name - Person USAC should contact regarding this data	Jim Sanborn
-------	---	-------------

<035> Contact Telephone Number - Number of person identified in data line <030> 2075639911 ext.

<039> Contact Email Address - Email Address of person identified in data line <030> jims@intelco.net

**<701> Residential Local Service Charge Effective Date**

1/1/2015

**<702> Single State-wide Residential Local Service Charge**

<703>

<a1>

<a2>

<a3>

**<b1>**

**<b2>**

1999

100

**<b4>**

**<b5>**

<C>

State

**Exchange (ILEC)**

SAC (CETC)

**Rate Type**

**Residential Local  
Service Rate**

State Subscriber Line Charge	
------------------------------	--

<b>State Universal Service Fee</b>	
------------------------------------	--

**Mandatory Extended Area  
Service Charge**

<b>Total per line Rates and Fees</b>	
--------------------------------------	--

See attached worksheet

(710) Broadband Price Offerings  
Data Collection Form

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010> Study Area Code	100003
-----------------------	--------

<015> Study Area Name	TIDEWATER TELECOM, INC.
-----------------------	-------------------------

<020>	Program Year	2016
-------	--------------	------

<030>	Contact Name - Person USAC should contact regarding this data	Jim Sanborn
-------	---	-------------

<035>	Contact Telephone Number - Number of person identified in data line <030>	2075639911 ext.
-------	---	-----------------

<039>	Contact Email Address - Email Address of person identified in data line <030>	jims@intelco.net
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[illegible]

**(800) Operating Companies  
Data Collection Form**

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	100003
<015>	Study Area Name	TIDEWATER TELECOM, INC.
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Jim Sanborn
<035>	Contact Telephone Number - Number of person identified in data line <030>	2075639911 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	jims@intelco.net
<810>	Reporting Carrier	Tidewater Telecom, Inc.
<811>	Holding Company	Lincolnvile Telephone Company
<812>	Operating Company	Tidewater Telecom, Inc.

[illegible]



**(1200) Terms and Condition for Lifeline Customers****Lifeline****Data Collection Form**

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	100003
<015>	Study Area Name	TIDEWATER TELECOM, INC.
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Jim Sanborn
<035>	Contact Telephone Number - Number of person identified in data line <030>	2075639911 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	jims@intelco.net

100003me1210.pdf

&lt;1210&gt; Terms &amp; Conditions of Voice Telephony Lifeline Plans

Name of Attached Document

&lt;1220&gt; Link to Public Website

HTTP

"Please check these boxes below to confirm that the attached document(s), on line 1210, or the website listed, on line 1220, contains the required information pursuant to § 54.422(a)(2) annual reporting for ETCs receiving low-income support, carriers must annually report:

&lt;1221&gt; Information describing the terms and conditions of any voice telephony service plans offered to Lifeline subscribers,



&lt;1222&gt; Details on the number of minutes provided as part of the plan,



&lt;1223&gt; Additional charges for toll calls, and rates for each such plan.



## (3000) Rate Of Return Carrier Additional Documentation

FCC Form 481

## Data Collection Form

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010> Study Area Code 100003  
 <015> Study Area Name TIDEWATER TELECOM, INC.  
 <020> Program Year 2016  
 <030> Contact Name - Person USAC should contact regarding this data Jim Sanborn  
 <035> Contact Telephone Number - Number of person identified in data line <030> 2075639911 ext.  
 <039> Contact Email Address - Email Address of person identified in data line <030> jims@intelco.net

CHECK the boxes below to note compliance on its five year service quality plan (pursuant to 47 CFR § 54.202(a)) and, for privately held carriers, ensuring compliance with the financial reporting requirements set forth in 47 CFR § 54.313(f)(2). I further certify that the information reported on this form and in the documents attached below is accurate.

- (3010) Progress Report on 5 Year Plan  
 Milestone Certification (47 CFR § 54.313(f)(1)(i))

100003me3010.pdf

Name of Attached Document Listing Required Information

- (3011) Please check this box to confirm that the attached document(s), on line 3012 contains the required information pursuant to § 54.313 (f)(1)(ii), the carrier shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year. ☒

100003me3012.pdf

- (3012) Community Anchor Institutions (47 CFR § 54.313(f)(1)(ii))

Name of Attached Document Listing Required Information

- (3013) Is your company a Privately Held ROR Carrier (47 CFR § 54.313(f)(2))  
 (3014) If yes, does your company file the RUS annual report

(Yes/No) ☒ ☒  
 (Yes/No) ☒ ☒

Please check these boxes to confirm that the attached document(s), on line 3017, contains the required information pursuant to § 54.313(f)(2) compliance requires:

- (3015) Electronic copy of their annual RUS reports (Operating Report for Telecommunications Borrowers) ☐  
 (3016) Document(s) for Balance Sheet, Income Statement and Statement of Cash Flows ☐

- (3017) If the response is yes on line 3014, attach your company's RUS annual report and all required documentation

Name of Attached Document Listing Required Information

- (3018) If the response is no on line 3014, Is your company audited?

(Yes/No) ☒ ☒

If the response is yes on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains

- (3019) Either a copy of their audited financial statement; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications ☒

- (3020) Document(s) for Balance Sheet, Income Statement and Statement of Cash Flows ☒

- (3021) Management letter and audit opinion issued by the independent certified public accountant that performed the company's financial audit ☒

If the response is no on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains:

- (3022) Copy of their financial statement which has been subject to review by an independent certified public accountant; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications Borrowers, ☐

- (3023) Underlying information subjected to a review by an independent certified public accountant ☐

- (3024) Underlying information subjected to an officer certification. ☐

- (3025) Document(s) for Balance Sheet, Income Statement and Statement of Cash Flows ☐

100003me3026.pdf

- (3026) Attach the worksheet listing required information

Name of Attached Document Listing Required Information



## (3000) Rate Of Return Carrier Additional Documentation (Continued)

FCC Form 481

## Data Collection Form

OMB Control No. 3060-0986/OMB Control No. 3060-0819

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<035> Contact Telephone Number - Number of person identified in data line <030>	2075639911 ext.
<039> Contact Email Address - Email Address of person identified in data line <030>	jims@intelco.net

## Financial Data Summary

(3027) Revenue

6293922

(3028) Operating Expenses

6329469

(3029) Net Income

-74471

(3030) Telephone Plant In Service(TPIS)

53419571

(3031) Total Assets

28366237

(3032) Total Debt

0

(3033) Total Equity

19822378

(3034) Dividends

649357

**Certification - Reporting Carrier  
Data Collection Form**

 FCC Form 481  
 OMB Control No. 3060-0986/OMB Control No. 3060-0819  
 July 2013

<010> Study Area Code	100003
<015> Study Area Name	TIDEWATER TELECOM, INC.
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**TO BE COMPLETED BY THE REPORTING CARRIER, IF THE REPORTING CARRIER IS FILING ANNUAL REPORTING ON ITS OWN BEHALF:**

<b>Certification of Officer as to the Accuracy of the Data Reported for the Annual Reporting for CAF or LI Recipients</b>	
I certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual reporting requirements for universal service support recipients; and, to the best of my knowledge, the information reported on this form and in any attachments is accurate.	
Name of Reporting Carrier: TIDEWATER TELECOM, INC.	
Signature of Authorized Officer:	Date
Printed name of Authorized Officer:	
Title or position of Authorized Officer:	
Telephone number of Authorized Officer:	
Study Area Code of Reporting Carrier: 100003	Filing Due Date for this form: 07/01/2015
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

## Attachments



FCC Form 481  
OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<701>	Residential Local Service Charge Effective Date	1/1/2015
<702>	Single State-wide Residential Local Service Charge	

[illegible]

(710) Broadband Price Offerings Data Collection Form FCC Form 481  
OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010>	Study Area Code	100003
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<035>	Contact Telephone Number - Number of person identified in data line <030>	2075639911 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	jims@intelco.net

[illegible]



### Data Collection Form

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	100003
<015>	Study Area Name	TIDEWATER TELECOM, INC.
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<030>	Contact Name - Person USAC should contact regarding this data	Jim Sanborn
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<039>	Contact Email Address - Email Address of person identified in data line <030>	jims@lintelco.net
<810>	Reporting Carrier	Tidewater Telecom, Inc.
<811>	Holding Company	Lincolnvill Telephone Company
<812>	Operating Company	Tidewater Telecom, Inc.

[illegible]



#### Service Quality Standards and Consumer Protection

The Company has procedures in place to comply with service quality standards and consumer protections.

The Company has incurred an appropriate level of investment in its physical plant, including diverse routing, and is adequately staffed to maintain its physical plant to minimize service issues and respond quickly and ably to appropriately address any service issues and customer complaints. The Company is also adequately staffed to fulfill customer service requests on a timely basis.

The Company has procedures in place to ensure that its customer protection obligations are appropriately discharged. The Company maintains awareness of currently effective Federal and State consumer protection regulations, maintains procedures to comply with these regulations, keeps its employees informed of the procedures, and monitors its employees' compliance with the procedures.

Ability to Remain Functional in Emergency Situations - Sec 54.202(a)(2)

The Company has procedures and facilities in place to remain functional in emergency situations, including backup power at all switching locations in the form of batteries and generators, and ringed and duplicative networks with the ability to reroute traffic around damaged facilities and manage traffic spikes resulting from emergency situations.

The Company's pricing of fixed voice services, including basic local rates below \$20 and a federal SLC of \$6.50, computes to a total local rate well under \$30. This is well below the reasonable comparability benchmark issued by the Wireline Competition Bureau.



**Five-Year Build-Out Plan**  
**For Tidewater Telecom, Inc.**

Tidewater Telecom, Inc. (the "Company") is a rate-of-return carrier ETC and hereby submits its five-year build-out plan for the years 2016 through 2020.

The Company serves the following exchanges in midcoast Maine which have a combined population of approximately 14,000 people:

Bremen	
Damariscotta	
New Harbor	
Sheepscot	
South Bristol	
Union	

High cost support will enable the Company to maintain and improve its voice and broadband network serving the entire population of each of the above exchanges. The Company plans to upgrade its network continually throughout the 2016 through 2020 period, primarily in two ways:

- Upgrading of (primarily transmission) central office equipment.
- Deployment of fiber optic cable to the customer premise.

The Company will use all of its high cost support for the maintenance and improvement of its network as described above. The network will be appropriately maintained on an continual basis. The upgrading of central office equipment and deployment of fiber optic cable to the customer premise will proceed consistent with prudent financial management of the Company's funding and resources. Network improvements will provide availability of increased broadband speeds and capability throughout the Company's service area.

The Company received \$747,106 in universal service support in 2014. Of this amount, \$276,605 was used to improve service quality through construction of fiber optic cable, \$175,925 was used to improve service quality through upgrading digital equipment, and \$294,576 was used to maintain improved service quality through operating expenses. The Company attained its goal of upgrading its network to provide increased broadband speeds and capabilities consistent with prudent financial management.







Broadband Speed Maps Showing Changes from June 30, 2014 through May 15, 2015

Tidewater Telecom, Inc.

## Map Key

Download/Upload Speed Changes Between June 30, 2014 and May 15, 2015

Speed 6/30/14   Speed 5/15/15   Border

3/1 Meg	50/50 Meg	
6/1 Meg	50/50 Meg	
10/1 Meg	50/50 Meg	
10/2 Meg	50/50 Meg	
50/25 Meg	50/50 Meg	
10/1 Meg	10/2 Meg	
6/1 Meg	10/2 Meg	